Substitute for Form 1449A/PTO (Modifie)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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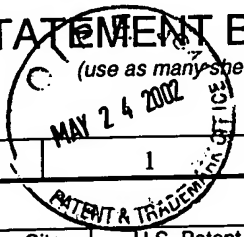

Co...ete if Known	
Application Number	10/040,526
Filing Date	12/28/2001
First Named Inventor:	Wayne V. Sorin
Group Art Unit	2874
Examiner Name	
Attorney Docket Number	5489P010

Sheet 1 of 2

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No.[1]	U.S. Patent Document Number Code[2]	Kind (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
KW		4,068,191		Zemon et al.	1/10/1978	
KW		4,832,437		Kim et al.	5/23/1989	
KW		4,915,468		Kim et al.	4/10/1990	
KW		5,007,705		Morey et al.	4/16/1991	
KW		5,022,732		Engan et al.	6/11/1991	
KW		5,652,809		Aronson	7/29/1997	
KW		6,021,237		Kim et al.	2/1/2000	
KW		6,151,427		Satorius	11/21/2000	
KW		6,233,379	B1	Kim et al.	5/15/2001	
KW		6,253,002	B1	Kim et al.	6/26/2001	
KW		6,266,462	B1	Kim et al.	7/24/2001	
KW		6,343,165	B1	Kim et al.	1/29/2002	

FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5] (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

Examiner Signature		Date Considered 4/03	

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[1]Unique citation designation number. [2]See attached Kinds of U.S. Patent Documents. [3]Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). [4]For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6]Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modifie)	Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(use as many sheets as necessary)*	Application Number	10/040,526
	Filing Date	12/28/2001
	First Named Inventor:	Wayne V. Sorin
	Group Art Unit	
	Examiner Name	
Sheet 2 of 2	Attorney Docket Number	5489P010

OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T[2]
		B.Y. Kim, J. N. Blake, H.E. Engan, and H.J. Shaw, "Acousto-optic frequency-shifting in two-mode opitcal fibers," OFS '86, Tokyo, Japan (October 8-10, 1986).	
		D. Ostling and H.E. Engan, "Narrow-band acousto-optic tunable filtering in a two-mode fiber," Optics Letters, Vol. 20, No. 11, pp. 1247-1249 (June 1, 1995).	
		T.A. Birks, P.S.J. Russell, and C.N. Pannell, "Low power acousto-optic device based on a tapered single-mode fiber," IEEE Photonics Technology Lett., Vol. 6, No. 6, pp. 725-727 (June 1994).	
		H.S. Kim, et al., "All-fiber acousto-optic tunable notch filter with electronically controllable spectral profile," Optics Letters, Vol. 22, No. 19, pp. 1476-1478 (October 1, 1997).	
		I.K. Hwang, et al., "Long-Period Gratings based on Arch-induced Microbends," OECC '98, Chiba, Japan, pp. 144-145 (July 12-16, 1998).	
		B.Y. Kim, et al., "Fiber Based Acousto-Optic Filters," OFC/IOOC '99, San Diego, California, USA, pp. 199-201, Invited Paper (February 21-26, 1999).	
		B.Y. Kim, "Acousto-Optic Components for WDM Applications," IEEE/LEOS Summer Topical Meetings, San Diego, California, USA, pp. 47-48, Invited Papers (July 26-28, 1999).	
		T.A. Birks, et al., "The acousto-optic effect in single-mode fiber tapers and couplers," Journal of Lightwave Technology, Vol. 14, No. 11, pp. 2519-2529 (November 1996).	
		D.W. Huang, et al., "Reflectivity-tunable fiber Bragg grating reflectors," IEEE Photonics Technology Letters, Vol. 12, No. 2, pp. 176-178 (February 2000).	
		W.F. Liu, et al., "100% efficient narrow-band acoustooptic tunable reflector using fiber Bragg grating," Journal of Lightwave Technology, Vol. 16, No. 11, pp. 2006-2009 (November 1998).	
		D.W. Huang, et al., "Q-Switched all-fiber laser with an acoustically modulated fiber attenuator," IEEE Photonics Technology Letters, Vol. 12, No. 9, pp. 1153-1155 (September 2000).	

Examiner Signature		Date Considered	4/03

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